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Attorney's Docket No.: 17075-019001 / 0123

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael Hendricksen, et al. Art Unit : 3743
Serial No. : 10/627,941 Examiner : Patel, N. B.
Filed : July 25, 2003
Conf. No. : 3677
Title : BRONCHIAL FLOW CONTROL DEVICES WITH MEMBRANE SEAL

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

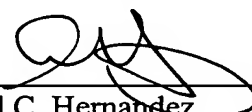
TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, Form PTO-1449 (1 page), cited reference, and return postcard for filing in connection with the above-captioned patent application.

- ☒ The Commissioner is hereby authorized to charge the fee for the extension of time and any other fee that may be due in connection with this and the attached papers or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,



Fred C. Hernandez
Reg. No. 41,832

Attorney Docket No. 17075-019001 / 0123
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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

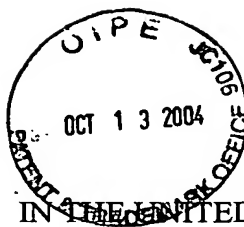
October 8, 2004

Date of Deposit
Kelly M. Fischer

Signature

Kelly M. Fischer

Typed or Printed Name of Person Signing Certificate



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN
ACCORDANCE WITH 37 C.F.R. §§1.97-1.98**

Dear Sir:

Because this Supplemental Information Disclosure Statement is filed before the receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) and a copy of the foreign reference are provided herewith in connection with the above-captioned application.

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Further to the list provided in the communication filed on November 18, 2003, applicant also makes known to the Examiner the following pending U.S. and International Applications that have one or more common inventors and/or are commonly owned:

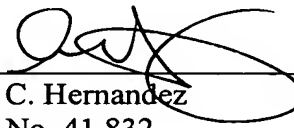
<u>U.S.S.N.</u>	<u>Filing Date</u>	<u>Publication No.</u>	<u>Docket No.</u>
10/703,919	11/06/03	Awaiting	004009 (0103I)
10/687,081	10/15/03	2004/0154621	004011 (0103K)
10/704,023	11/06/03	2004/0134487	004012 (0103L)
10/887,337	07/08/04	Awaiting	020001 (0124)
10/723,273	11/25/03	2004/0148035	021001 (0125)

<u>Int'l Appl. No.</u>	<u>Filing Date</u>	<u>Publication No.</u>	<u>Docket No.</u>
PCT/US04/21953	07/08/04	Awaiting	020WO1 (0124PC)
PCT/US03/37890	11/25/03	WO 2004/049974	021WO1 (0125PC)

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references is effective as prior art against the subject application. In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully submitted,



Fred C. Hernandez
Reg. No. 41,832

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Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
17075-019001 / 0123Application No.
10/627,941**List of Patents and Publications for Applicant's
Information Disclosure Statement**

(37 CFR §1.98(b))

Applicant
Michael Hendricksen, et al.Filing Date
July 25, 2003Group Art Unit
3743**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,110,201	08/29/00	Quijano, et al.	623	2.1	02/18/99
	AB	6,193,748	02/27/01	Thompson, et al.	623	1.3	06/11/99

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AC	2004/010845	02/05/04	PCT				

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document

Examiner Signature

Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.